

**FEE TRANSMITTAL
for FY 2005**

Effective 10/1/2004. Patent fees are subject to annual revision

☒ Applicant claims small entity status. See 37 CFR 1.27

Complete if Known

| | |
|----------------------|--------------------|
| Application Number | 10/674,060 |
| Filing Date | September 30, 2003 |
| First Named Inventor | Duan |
| Examiner Name | Anya, Igwe U. |
| Group/Art Unit | 2825 |
| Attorney Docket No. | 01-001420 |

TOTAL AMOUNT OF PAYMENT \$162

METHOD OF PAYMENT (check one)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other☒ Deposit Account

| | |
|--------------------|---------------|
| Deposit Acct. No. | 50-2336 |
| Deposit Acct. Name | Nanosys, Inc. |

The Commissioner is authorized to: (Check all that apply)

☒ Charge the fees indicated below☒ Charge any additional fee(s) during the pendency of this application☒ Credit any overpayments

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001 | 790 | 2001 | 395 | Utility filing fee | |
| 1002 | 350 | 2002 | 175 | Design filing fee | |
| 1003 | 550 | 2003 | 275 | Plant filing fee | |
| 1004 | 790 | 2004 | 395 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | 0 |

2. EXTRA CLAIM FEES

| Total claims independent | 70 | -61** = | 9 | x | Fee from below 18 = | Fee Paid |
|--------------------------|--------|---------|---|---|---------------------|----------|
| 3 | -3** = | 0 | | | | |

Multiple Dependent

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|---|----------|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 88 | 2201 | 44 | Independent claims in excess of 3 | |
| 1203 | 300 | 2203 | 150 | Multiple dependent claims, if new | |
| 1204 | 88 | 2204 | 44 | **Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | 162 |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2520 | 1812 | 2520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1840* | 1805 | 1840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for response within first month | |
| 1252 | 430 | 2252 | 215 | Extension for response within second month | |
| 1253 | 980 | 2253 | 490 | Extension for response within third month | |
| 1254 | 1530 | 2254 | 765 | Extension for response within fourth month | |
| 1255 | 2080 | 2255 | 1040 | Extension for response within fifth month | |
| 1401 | 340 | 2401 | 170 | Notice of Appeal | |
| 1402 | 340 | 2402 | 170 | Filing a brief in support of an appeal | |
| 1403 | 300 | 2403 | 150 | Request for oral hearing | |
| 1451 | 1510 | 1451 | 1510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1370 | 2453 | 685 | Petition to revive - unintentional | |
| 1501 | 1370 | 2501 | 685 | Utility issue fee (or reissue) | |
| 1502 | 490 | 2502 | 45 | Design issue fee | |
| 1503 | 660 | 2503 | 330 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 790 | 2809 | 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 790 | 2810 | 395 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 790 | 2801 | 395 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| 1504 | 300 | 1504 | 300 | Publication Fee | |

Other fee (specify)

Other fee (specify)

SUBTOTAL (3) \$0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name Andrew L. Filler

Signature

Date 10-12-04

Complete (if applicable)

Reg. Number 44,107

Deposit Account 50-2336
User ID

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: October 12, 2004

Typed or Printed Name Michelle Chan

Signature

Date October 12, 2004



CERTIFICATE OF TRANSMISSION MAILING
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

October 12, 2004
Signed Michelle Chan
Michelle Chan

Appl. No. : 10/674,060
Applicant : Duan et al.
Filed : 9/30/2003
TC/A.U. : 2825
Examiner : Anya, Igwe U.
Docket No. : 01-001420
Customer No. : 33140

Confirmation No. 1190

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE

Sir:

In response to the Office Action mailed September 29, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 12 of this paper.

10/18/2004 EAREGAY1 00000003 502336 10674060

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